

Risk Reference Number	Opened	Risk Description	Risk score before controls	Existing Controls in Place	Risk score after controls	Direction of Travel	Risk Owner
CWB.006 EAC.001	01/04/2017	<b>ICT Systems</b> <b>IF/AS:</b> The technology ICT systems/ platforms are not appropriate or used to their full effect <b>THEN:</b> We fail to manage our services effectively and this can lead to poor practice and inefficient use of staff time	20	MOSAIC overseen by joint chaired adults and children ADs. Education System Group now in place	16	▶	MOSAIC - AD Safeguarding and family support E&C tactical systems interim assistant director
CWB.007 EAC.002	01/04/2017	<b>School Assets</b> <b>IF/AS:</b> Insufficient condition oversight of school assets is not in place <b>THEN:</b> There may be an increase in costs due to unplanned significant spend	25	Education assets condition surveys to be completed and school capital investment strategy in place. Schemes to be developed.	9	▶	Director for children's wellbeing
CWB.010	01/04/2017	<b>Savings Plans</b> <b>IF/AS:</b> The savings plans across the directorate are not delivered with support from council services <b>THEN:</b> Resources and the MTFs across the council may be at risk	20	Work is taking place across the directorate to deliver savings and this is being regularly reviewed by DLT/SMT/Management Board/Cabinet.	16	▲	Director for children's wellbeing
CWB.020	01/04/2017	<b>Ofsted Preparations</b> <b>IF/AS:</b> Preparations are not made for Ofsted inspection of safeguarding <b>THEN:</b> Service areas and leadership may be judged poorly and positive outcomes for children not reflected in Ofsted judgements. This could lead to reputational damage, requirements for improvements including significant budget implications for the council	15	Safeguarding improvement plan 2017/18 acted on. Peer Review processes in place to provide external view. Ofsted engaged in audit training. CEX briefings taking place. Cabinet received briefings on emerging framework and current position of service. CWB Scrutiny in place.	10	▶	AD Safeguarding and family support
CWB.021	18/10/2017	<b>Staffing / Workforce</b> <b>IF/AS:</b> We are unable to recruit and maintain a stable, experienced social care workforce <b>THEN:</b> Caseloads for social workers will be higher than wanted and may affect the quality of casework for children	20	OD Business Partner in place since Sept 2017. Recruitment and retention plan been developed and recruitment now following enhanced approach	15	▶	AD Safeguarding and family support
CWB.022	25/10/2017	<b>Safeguarding work to support the service during police investigation. IF/AS:</b> There is a lack of capacity in management <b>THEN:</b> There may be disruptions in casework, unsettled staff and service	16	Interim senior management in place to provide additional capacity. Staff communicated with and support in place.	8	▶	Director for children's wellbeing
	19/01/2018	<b>Pupil Referral Unit</b> <b>IF:</b> The cabinet member does not decide to not proceed with the current proposals <b>THEN:</b> The council PRU provision will not meet the legal requirements stipulated by	16	Current proposals have been scrutinised by the council's legal department and they have provided the necessary advice in order for the proposals to progress.	16	▶	AD Education Development and Skills (Interim)

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CWB 023	01/12/2016	<p><b>Human Rights claims</b>                      IF/AS a result of high court decision regarding adoption cases  <b>THEN:</b> Herefordshire council may face Human Rights claims associated with the 2 cases</p>	16	<p>Case review work has been undertaken by children's social care and by legal services and submitted to court.                      Communications briefed on response from council, including training, audit of any cases with similar presenting features and action to address any recommendations from the judgement; communications to cabinet, children's scrutiny and all members; communication to chair of HSCB and also to regional lead for safeguarding with Ofsted.</p>	16	▲	Director for children's wellbeing